

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 4831867  |
| <b>Application Number:</b>                  | 09823353   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 5341   |
| <b>Title of Invention:</b>                  | System and method for providing an improved financial derivative product |
| <b>First Named Inventor/Applicant Name:</b> | Zachariah Cobrinik   |
| <b>Customer Number:</b>                     | 32361  |
| <b>Filer:</b>                               | Barry J. Schindler/Christine Lucas                                       |
| <b>Filer Authorized By:</b>                 | Barry J. Schindler   |
| <b>Attorney Docket Number:</b>              | 006878.112100  |
| <b>Receipt Date:</b>                        | 20-FEB-2009  |
| <b>Filing Date:</b>                         | 30-MAR-2001  |
| <b>Time Stamp:</b>                          | 16:28:08   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)  |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$1110          |
| RAM confirmation Number                  | 1865            |
| Deposit Account                          | 501561          |
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

| Document Number | Document Description | File Name       | File Size(Bytes)/ Message Digest                   | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|-----------------|--|------------------|------------------|
| 1               |                      | cobrinik_am.pdf | 1108122<br>ee807457718fcdab808092260641453d0c2350d | yes              | 26               |

### Multipart Description/PDF files in .zip description

| Document Description                                  | Start | End |
|---|-------|-----|
| Miscellaneous Incoming Letter                         | 1     | 1   |
| Extension of Time                                     | 2     | 2   |
| Amendment/Req. Reconsideration-After Non-Final Reject | 3     | 3   |
| Claims  | 4     | 21  |
| Applicant Arguments/Remarks Made in an Amendment      | 22    | 26  |

### Warnings:

### Information:

|   |                        |              |   |    |   |
|---|------------------------|--------------|---|----|---|
| 2 | Fee Worksheet (PTO-06) | fee-info.pdf | 30070<br>1c58a281819956068276f1bc13f129279d613d | no | 2 |
|---|------------------------|--------------|---|----|---|

### Warnings:

### Information:

Total Files Size (in bytes): 1138192

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.